

Vote Summary Report

Date range covered : 07/01/2020 to 09/30/2020
 Location(s): NWQ Investment Management Company, LLC
 Institution Account(s): Sun Life NWQ Flexible Income Fund

Sun Life NWQ Flexible Income Fund

Siemens AG

Meeting Date: 07/09/2020
Record Date: 06/18/2020

Country: Germany
Meeting Type: Special

Primary Security ID: D69671218
Ticker: SIE

Shares Voted: 11,920

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Approve Spin-Off and Takeover Agreement with Siemens Energy AG	Mgmt	For	For	For
2	Additional Proposals Presented at the Meeting	SH	Against	Against	Against
3	Additional Proposals Presented at the Meeting	SH	Against	Against	Against
4	Additional Proposals Presented at the Meeting	SH	Against	Against	Against
A	Counter Motion A	SH	Against	Against	Against
B	Counter Motion B	SH	Against	Against	Against
C	Counter Motion C	SH	Against	Against	Against
D	Counter Motion D	SH	Against	Against	Against
E	Counter Motion E	SH	Against	Against	Against
F	Counter Motion F	SH	Against	Against	Against
G	Counter Motion	SH	Against	Against	Against
H	Counter Motion	SH	Against	Against	Against
I	Counter Motion	SH	Against	Against	Against

Vote Summary Report

Date range covered : 07/01/2020 to 09/30/2020
Location(s): NWQ Investment Management Company, LLC
Institution Account(s): Sun Life NWQ Flexible Income Fund

Ares Capital Corporation

Meeting Date: 08/13/2020
Record Date: 06/05/2020

Country: USA
Meeting Type: Special

Primary Security ID: 04010L103
Ticker: ARCC

Shares Voted: 50,937

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Issuance of Shares Below Net Asset Value (NAV)	Mgmt	For	For	For

Vote Summary Report

Date range covered : 10/01/2020 to 12/31/2020
 Location(s): NWQ Investment Management Company, LLC
 Institution Account(s): Sun Life NWQ Flexible Income Fund

Apartment Investment and Management Company

Meeting Date: 11/20/2020 **Country:** USA **Primary Security ID:** 03748R747
Record Date: 11/04/2020 **Meeting Type:** Proxy Contest **Ticker:** AIV
Shares Voted: 27,212

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Management Proxy (White Proxy Card)	Mgmt			
1	Revoke Consent to Call a Special Meeting to Approve a Non-Binding Resolution Urging the Board to Put Any Proposed Separation or Spin-Off To a Vote At a Meeting of Stockholders	SH	For	Do Not Vote	Do Not Vote
	Dissident Proxy (Gold Proxy Card)	Mgmt			
1	Consent to Call a Special Meeting to Approve a Non-Binding Resolution Urging the Board to Put Any Proposed Separation or Spin-Off To a Vote At a Meeting of Stockholders	SH	For	For	For
2	Consent to Exercise All Rights of Common Shareholders Incidental to Calling the Special Meeting and Causing the Purposes of the Authority Expressly Granted Pursuant to the Written Requests to the Designated Agents to be Carried into Effect	SH	For	For	For

Cisco Systems, Inc.

Meeting Date: 12/10/2020 **Country:** USA **Primary Security ID:** 17275R102
Record Date: 10/12/2020 **Meeting Type:** Annual **Ticker:** CSCO
Shares Voted: 35,900

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director M. Michele Burns	Mgmt	For	For	For

Vote Summary Report

Date range covered : 10/01/2020 to 12/31/2020

Location(s): NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

Cisco Systems, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1b	Elect Director Wesley G. Bush	Mgmt	For	For	For
1c	Elect Director Michael D. Capellas	Mgmt	For	For	For
1d	Elect Director Mark Garrett	Mgmt	For	For	For
1e	Elect Director Kristina M. Johnson	Mgmt	For	For	For
1f	Elect Director Roderick C. McGeary	Mgmt	For	For	For
1g	Elect Director Charles H. Robbins	Mgmt	For	For	For
1h	Elect Director Arun Sarin - Withdrawn	Mgmt			
1i	Elect Director Brenton L. Saunders	Mgmt	For	For	For
1j	Elect Director Lisa T. Su	Mgmt	For	For	For
2	Change State of Incorporation from California to Delaware	Mgmt	For	For	For
3	Amend Omnibus Stock Plan	Mgmt	For	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
6	Require Independent Board Chair	SH	Against	Against	Against

Vote Summary Report

Date range covered : 04/01/2021 to 06/30/2021

Location(s): NWQ Investment Management Company, LLC (121), NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

Liberty Mutual Holding Company Inc.

Meeting Date: 04/14/2021

Country: USA

Primary Security ID: FDS048XZ2

Record Date: 01/31/2021

Meeting Type: Annual

Ticker: N/A

Shares Voted: 575,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Nancy W. Quan	Mgmt	For	Refer	For
1.2	Elect Director Angel A. Ruiz	Mgmt	For	Refer	For
1.3	Elect Director Martin P. Stark	Mgmt	For	Refer	For
1.4	Elect Director Annette M. Verschuren	Mgmt	For	Refer	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	Refer	For

NextEra Energy Partners, LP

Meeting Date: 04/20/2021

Country: USA

Primary Security ID: 65341B106

Record Date: 02/24/2021

Meeting Type: Annual

Ticker: NEP

Shares Voted: 22,734

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Susan D. Austin	Mgmt	For	For	For
1b	Elect Director Robert J. Byrne	Mgmt	For	For	For
1c	Elect Director Peter H. Kind	Mgmt	For	For	For
1d	Elect Director James L. Robo	Mgmt	For	Against	Against

Vote Summary Report

Date range covered : 04/01/2021 to 06/30/2021

Location(s): NWQ Investment Management Company, LLC (121), NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

NextEra Energy Partners, LP

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against

The Coca-Cola Company

Meeting Date: 04/20/2021

Country: USA

Primary Security ID: 191216100

Record Date: 02/19/2021

Meeting Type: Annual

Ticker: KO

Shares Voted: 16,300

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Herbert A. Allen	Mgmt	For	For	For
1.2	Elect Director Marc Bolland	Mgmt	For	For	For
1.3	Elect Director Ana Botin	Mgmt	For	For	For
1.4	Elect Director Christopher C. Davis	Mgmt	For	For	For
1.5	Elect Director Barry Diller	Mgmt	For	For	For
1.6	Elect Director Helene D. Gayle	Mgmt	For	For	For
1.7	Elect Director Alexis M. Herman	Mgmt	For	For	For
1.8	Elect Director Robert A. Kotick	Mgmt	For	For	For
1.9	Elect Director Maria Elena Lagomasino	Mgmt	For	For	For
1.10	Elect Director James Quincey	Mgmt	For	For	For
1.11	Elect Director Caroline J. Tsay	Mgmt	For	For	For

Vote Summary Report

Date range covered : 04/01/2021 to 06/30/2021

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Institution Account(s): Sun Life NWQ Flexible Income Fund

The Coca-Cola Company

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.12	Elect Director David B. Weinberg	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditor	Mgmt	For	For	For
4	Report on Sugar and Public Health	SH	Against	Against	Against

Vistra Corp.

Meeting Date: 04/28/2021

Country: USA

Primary Security ID: 92840M102

Record Date: 03/16/2021

Meeting Type: Annual

Ticker: VST

Shares Voted: 38,003

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Scott B. Helm	Mgmt	For	For	For
1.2	Elect Director Hilary E. Ackermann	Mgmt	For	For	For
1.3	Elect Director Arcilia C. Acosta	Mgmt	For	For	For
1.4	Elect Director Gavin R. Baiera	Mgmt	For	For	For
1.5	Elect Director Paul M. Barbas	Mgmt	For	For	For
1.6	Elect Director Lisa Crutchfield	Mgmt	For	For	For
1.7	Elect Director Brian K. Ferraioli	Mgmt	For	For	For
1.8	Elect Director Jeff D. Hunter	Mgmt	For	For	For
1.9	Elect Director Curtis A. Morgan	Mgmt	For	For	For

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Institution Account(s): Sun Life NWQ Flexible Income Fund

Vistra Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.10	Elect Director John R. Sult	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For

AT&T Inc.

Meeting Date: 04/30/2021

Country: USA

Primary Security ID: 00206R102

Record Date: 03/02/2021

Meeting Type: Annual

Ticker: T

Shares Voted: 27,400

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director William E. Kennard	Mgmt	For	For	For
1b	Elect Director Samuel A. Di Piazza, Jr.	Mgmt	For	For	For
1c	Elect Director Scott T. Ford	Mgmt	For	For	For
1d	Elect Director Glenn H. Hutchins	Mgmt	For	For	For
1e	Elect Director Debra L. Lee	Mgmt	For	For	For
1f	Elect Director Stephen J. Luczo	Mgmt	For	For	For
1g	Elect Director Michael B. McCallister	Mgmt	For	For	For
1h	Elect Director Beth E. Mooney	Mgmt	For	For	For
1i	Elect Director Matthew K. Rose	Mgmt	For	For	For
1j	Elect Director John T. Stankey	Mgmt	For	For	For

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AT&T Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1k	Elect Director Cynthia B. Taylor	Mgmt	For	For	For
1l	Elect Director Geoffrey Y. Yang	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
4	Lower Ownership Threshold for Action by Written Consent	SH	Against	For	For

Bristol-Myers Squibb Company

Meeting Date: 05/04/2021

Country: USA

Primary Security ID: 110122108

Record Date: 03/15/2021

Meeting Type: Annual

Ticker: BMY

Shares Voted: 50,100

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1A	Elect Director Peter J. Arduini	Mgmt	For	For	For
1B	Elect Director Michael W. Bonney	Mgmt	For	For	For
1C	Elect Director Giovanni Caforio	Mgmt	For	For	For
1D	Elect Director Julia A. Haller	Mgmt	For	For	For
1E	Elect Director Paula A. Price	Mgmt	For	For	For
1F	Elect Director Derica W. Rice	Mgmt	For	For	For
1G	Elect Director Theodore R. Samuels	Mgmt	For	For	For
1H	Elect Director Gerald L. Storch	Mgmt	For	For	For

Vote Summary Report

Date range covered : 04/01/2021 to 06/30/2021

Location(s): NWQ Investment Management Company, LLC (121), NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

Bristol-Myers Squibb Company

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1I	Elect Director Karen H. Vousden	Mgmt	For	For	For
1J	Elect Director Phyllis R. Yale	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Approve Omnibus Stock Plan	Mgmt	For	For	For
4	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
5	Reduce Ownership Threshold for Special Shareholder Meetings to 15%	Mgmt	For	For	For
6	Require Independent Board Chair	SH	Against	For	For
7	Provide Right to Act by Written Consent	SH	Against	For	For
8	Reduce Ownership Threshold for Special Shareholder Meetings to 10%	SH	Against	For	For

General Dynamics Corporation

Meeting Date: 05/05/2021

Record Date: 03/08/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 369550108

Ticker: GD

Shares Voted: 7,400

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director James S. Crown	Mgmt	For	For	For
1b	Elect Director Rudy F. deLeon	Mgmt	For	For	For
1c	Elect Director Cecil D. Haney	Mgmt	For	For	For

Vote Summary Report

Date range covered : 04/01/2021 to 06/30/2021

Location(s): NWQ Investment Management Company, LLC (121), NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

General Dynamics Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1d	Elect Director Mark M. Malcolm	Mgmt	For	For	For
1e	Elect Director James N. Mattis	Mgmt	For	For	For
1f	Elect Director Phebe N. Novakovic	Mgmt	For	For	For
1g	Elect Director C. Howard Nye	Mgmt	For	For	For
1h	Elect Director Catherine B. Reynolds	Mgmt	For	For	For
1i	Elect Director Laura J. Schumacher	Mgmt	For	For	For
1j	Elect Director Robert K. Steel	Mgmt	For	For	For
1k	Elect Director John G. Stratton	Mgmt	For	For	For
1l	Elect Director Peter A. Wall	Mgmt	For	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Against	For	For

GlaxoSmithKline Plc

Meeting Date: 05/05/2021

Record Date: 03/18/2021

Country: United Kingdom

Meeting Type: Annual

Primary Security ID: G3910J112

Ticker: GSK

Vote Summary Report

Date range covered : 04/01/2021 to 06/30/2021

Location(s): NWQ Investment Management Company, LLC (121), NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

GlaxoSmithKline Plc

Shares Voted: 45,400

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Re-elect Sir Jonathan Symonds as Director	Mgmt	For	For	For
4	Re-elect Dame Emma Walmsley as Director	Mgmt	For	For	For
5	Re-elect Charles Bancroft as Director	Mgmt	For	For	For
6	Re-elect Vindi Banga as Director	Mgmt	For	For	For
7	Re-elect Dr Hal Barron as Director	Mgmt	For	For	For
8	Re-elect Dr Vivienne Cox as Director	Mgmt	For	For	For
9	Re-elect Lynn Elsenhans as Director	Mgmt	For	For	For
10	Re-elect Dr Laurie Glimcher as Director	Mgmt	For	For	For
11	Re-elect Dr Jesse Goodman as Director	Mgmt	For	For	For
12	Re-elect Iain Mackay as Director	Mgmt	For	For	For
13	Re-elect Urs Rohner as Director	Mgmt	For	For	For
14	Reappoint Deloitte LLP as Auditors	Mgmt	For	For	For
15	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
16	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
17	Authorise Issue of Equity	Mgmt	For	For	For

Vote Summary Report

Date range covered : 04/01/2021 to 06/30/2021

Location(s): NWQ Investment Management Company, LLC (121), NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

GlaxoSmithKline Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
18	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
19	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
20	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
21	Approve the Exemption from Statement of the Name of the Senior Statutory Auditor in Published Copies of the Auditors' Reports	Mgmt	For	For	For
22	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For

Entergy Corporation

Meeting Date: 05/07/2021

Record Date: 03/09/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 29364G103

Ticker: ETR

Shares Voted: 16,700

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director John R. Burbank	Mgmt	For	For	For
1b	Elect Director Patrick J. Condon	Mgmt	For	For	For
1c	Elect Director Leo P. Denault	Mgmt	For	For	For
1d	Elect Director Kirkland H. Donald	Mgmt	For	For	For
1e	Elect Director Brian W. Ellis	Mgmt	For	For	For
1f	Elect Director Philip L. Frederickson	Mgmt	For	For	For

Vote Summary Report

Date range covered : 04/01/2021 to 06/30/2021

Location(s): NWQ Investment Management Company, LLC (121), NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

Entergy Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1g	Elect Director Alexis M. Herman	Mgmt	For	For	For
1h	Elect Director M. Elise Hyland	Mgmt	For	For	For
1i	Elect Director Stuart L. Levenick	Mgmt	For	For	For
1j	Elect Director Blanche Lambert Lincoln	Mgmt	For	For	For
1k	Elect Director Karen A. Puckett	Mgmt	For	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Authorize New Class of Preferred Stock	Mgmt	For	For	For

AstraZeneca Plc

Meeting Date: 05/11/2021

Record Date: 04/19/2021

Country: United Kingdom

Meeting Type: Annual

Primary Security ID: G0593M107

Ticker: AZN

Shares Voted: 23,500

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Dividends	Mgmt	For	For	For
3	Reappoint PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
4	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For

Vote Summary Report

Date range covered : 04/01/2021 to 06/30/2021

Location(s): NWQ Investment Management Company, LLC (121), NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

AstraZeneca Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5a	Re-elect Leif Johansson as Director	Mgmt	For	For	For
5b	Re-elect Pascal Soriot as Director	Mgmt	For	For	For
5c	Re-elect Marc Dunoyer as Director	Mgmt	For	For	For
5d	Re-elect Philip Broadley as Director	Mgmt	For	For	For
5e	Elect Euan Ashley as Director	Mgmt	For	For	For
5f	Re-elect Michel Demare as Director	Mgmt	For	For	For
5g	Re-elect Deborah DiSanzo as Director	Mgmt	For	For	For
5h	Elect Diana Layfield as Director	Mgmt	For	For	For
5i	Re-elect Sheri McCoy as Director	Mgmt	For	For	For
5j	Re-elect Tony Mok as Director	Mgmt	For	For	For
5k	Re-elect Nazneen Rahman as Director	Mgmt	For	For	For
5l	Re-elect Marcus Wallenberg as Director	Mgmt	For	For	For
6	Approve Remuneration Report	Mgmt	For	For	For
7	Approve Remuneration Policy	Mgmt	For	Against	Against
8	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
9	Authorise Issue of Equity	Mgmt	For	For	For
10	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
11	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
12	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For

Vote Summary Report

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Location(s): NWQ Investment Management Company, LLC (121), NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

AstraZeneca Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
13	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For
14	Amend Performance Share Plan	Mgmt	For	Against	Against

AstraZeneca Plc

Meeting Date: 05/11/2021

Country: United Kingdom

Primary Security ID: G0593M107

Record Date: 04/20/2021

Meeting Type: Special

Ticker: AZN

Shares Voted: 23,500

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Approve Acquisition of Alexion Pharmaceuticals, Inc.	Mgmt	For	For	For

Healthcare Realty Trust Incorporated

Meeting Date: 05/11/2021

Country: USA

Primary Security ID: 421946104

Record Date: 03/12/2021

Meeting Type: Annual

Ticker: HR

Shares Voted: 28,600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Todd J. Meredith	Mgmt	For	For	For

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Institution Account(s): Sun Life NWQ Flexible Income Fund

Healthcare Realty Trust Incorporated

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.2	Elect Director John V. Abbott	Mgmt	For	For	For
1.3	Elect Director Nancy H. Agee	Mgmt	For	For	For
1.4	Elect Director Edward H. Braman	Mgmt	For	For	For
1.5	Elect Director Ajay Gupta	Mgmt	For	For	For
1.6	Elect Director James J. Kilroy	Mgmt	For	For	For
1.7	Elect Director Peter F. Lyle, Sr.	Mgmt	For	For	For
1.8	Elect Director John Knox Singleton	Mgmt	For	For	For
1.9	Elect Director Christann M. Vasquez	Mgmt	For	For	For
2	Ratify BDO USA, LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

Intel Corporation

Meeting Date: 05/13/2021

Record Date: 03/19/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 458140100

Ticker: INTC

Shares Voted: 21,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Patrick P. Gelsinger	Mgmt	For	For	For
1b	Elect Director James J. Goetz	Mgmt	For	For	For
1c	Elect Director Alyssa Henry	Mgmt	For	For	For

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Intel Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1d	Elect Director Omar Ishrak	Mgmt	For	For	For
1e	Elect Director Risa Lavizzo-Mourey	Mgmt	For	For	For
1f	Elect Director Tsu-Jae King Liu	Mgmt	For	For	For
1g	Elect Director Gregory D. Smith	Mgmt	For	For	For
1h	Elect Director Dion J. Weisler	Mgmt	For	For	For
1i	Elect Director Frank D. Yeary	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
4	Provide Right to Act by Written Consent	SH	Against	For	For
5	Report on Global Median Gender/Racial Pay Gap	SH	Against	Against	Against
6	Report on Whether Written Policies or Unwritten Norms Reinforce Racism in Company Culture	SH	Against	Against	Against

nVent Electric plc

Meeting Date: 05/14/2021

Record Date: 03/19/2021

Country: Ireland

Meeting Type: Annual

Primary Security ID: G6700G107

Ticker: NVT

Shares Voted: 34,400

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Jerry W. Burriss	Mgmt	For	For	For

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nVent Electricplc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1b	Elect Director Susan M. Cameron	Mgmt	For	For	For
1c	Elect Director Michael L. Ducker	Mgmt	For	For	For
1d	Elect Director Randall J. Hogan	Mgmt	For	For	For
1e	Elect Director Ronald L. Merriman	Mgmt	For	For	For
1f	Elect Director Nicola Palmer	Mgmt	For	For	For
1g	Elect Director Herbert K. Parker	Mgmt	For	For	For
1h	Elect Director Greg Scheu	Mgmt	For	For	For
1i	Elect Director Beth A. Wozniak	Mgmt	For	For	For
1j	Elect Director Jacqueline Wright	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Approve Deloitte & Touche LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
4	Authorize Price Range for Reissuance of Treasury Shares	Mgmt	For	For	For

Knight-Swift Transportation Holdings Inc.

Meeting Date: 05/18/2021

Record Date: 03/22/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 499049104

Ticker: KNX

Vote Summary Report

Date range covered : 04/01/2021 to 06/30/2021

Location(s): NWQ Investment Management Company, LLC (121), NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

Knight-Swift Transportation Holdings Inc.

Shares Voted: 19,600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Gary Knight	Mgmt	For	For	For
1.2	Elect Director Kathryn Munro	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Grant Thornton LLP as Auditors	Mgmt	For	For	For
4	Reduce Supermajority Vote Requirement	SH	Against	For	For

Fresenius Medical Care AG & Co. KGaA

Meeting Date: 05/20/2021

Country: Germany

Primary Security ID: D2734Z107

Record Date: 04/12/2021

Meeting Type: Annual

Ticker: FME

Shares Voted: 18,900

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Accept Financial Statements and Statutory Reports for Fiscal Year 2020	Mgmt	For	For	For
2	Approve Allocation of Income and Dividends of EUR 1.34 per Share	Mgmt	For	For	For
3	Approve Discharge of Personally Liable Partner for Fiscal Year 2020	Mgmt	For	For	For
4	Approve Discharge of Supervisory Board for Fiscal Year 2020	Mgmt	For	For	For

Vote Summary Report

Date range covered : 04/01/2021 to 06/30/2021

Location(s): NWQ Investment Management Company, LLC (121), NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

Fresenius Medical Care AG & Co. KGaA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Ratify PricewaterhouseCoopers GmbH as Auditors for Fiscal Year 2021	Mgmt	For	For	For
6.a	Elect Dieter Schenk to the Supervisory Board	Mgmt	For	For	For
6.b	Elect Rolf Classon to the Supervisory Board and to the Joint Committee	Mgmt	For	For	For
6.c	Elect Gregory Sorensen to the Supervisory Board	Mgmt	For	For	For
6.d	Elect Dorothea Wenzel to the Supervisory Board and to the Joint Committee	Mgmt	For	For	For
6.e	Elect Pascale Witz to the Supervisory Board	Mgmt	For	For	For
6.f	Elect Gregor Zuend to the Supervisory Board	Mgmt	For	For	For
7	Authorize Share Repurchase Program and Reissuance or Cancellation of Repurchased Shares	Mgmt	For	For	For

McDonald's Corporation

Meeting Date: 05/20/2021

Record Date: 03/22/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 580135101

Ticker: MCD

Shares Voted: 4,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Lloyd Dean	Mgmt	For	For	For
1b	Elect Director Robert Eckert	Mgmt	For	For	For
1c	Elect Director Catherine Engelbert	Mgmt	For	For	For

Vote Summary Report

Date range covered : 04/01/2021 to 06/30/2021

Location(s): NWQ Investment Management Company, LLC (121), NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

McDonald's Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1d	Elect Director Margaret Georgiadis	Mgmt	For	For	For
1e	Elect Director Enrique Hernandez, Jr.	Mgmt	For	For	For
1f	Elect Director Christopher Kempczinski	Mgmt	For	For	For
1g	Elect Director Richard Lenny	Mgmt	For	For	For
1h	Elect Director John Mulligan	Mgmt	For	For	For
1i	Elect Director Sheila Penrose	Mgmt	For	For	For
1j	Elect Director John Rogers, Jr.	Mgmt	For	For	For
1k	Elect Director Paul Walsh	Mgmt	For	For	For
1l	Elect Director Miles White	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
4	Report on Sugar and Public Health	SH	Against	Against	Against
5	Report on Antibiotics and Public Health Costs	SH	Against	Against	Against
6	Provide Right to Act by Written Consent	SH	Against	For	For

Walmart Inc.

Meeting Date: 06/02/2021

Record Date: 04/09/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 931142103

Ticker: WMT

Vote Summary Report

Date range covered : 04/01/2021 to 06/30/2021

Location(s): NWQ Investment Management Company, LLC (121), NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

Walmart Inc.

Shares Voted: 11,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Cesar Conde	Mgmt	For	For	For
1b	Elect Director Timothy P. Flynn	Mgmt	For	For	For
1c	Elect Director Sarah J. Friar	Mgmt	For	For	For
1d	Elect Director Carla A. Harris	Mgmt	For	For	For
1e	Elect Director Thomas W. Horton	Mgmt	For	For	For
1f	Elect Director Marissa A. Mayer	Mgmt	For	For	For
1g	Elect Director C. Douglas McMillon	Mgmt	For	For	For
1h	Elect Director Gregory B. Penner	Mgmt	For	For	For
1i	Elect Director Steven S Reinemund	Mgmt	For	For	For
1j	Elect Director Randall L. Stephenson	Mgmt	For	For	For
1k	Elect Director S. Robson Walton	Mgmt	For	For	For
1l	Elect Director Steuart L. Walton	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
4	Report on Refrigerants Released from Operations	SH	Against	Against	Against
5	Report on Lobbying Payments and Policy	SH	Against	For	For
6	Report on Alignment of Racial Justice Goals and Starting Wages	SH	Against	For	For
7	Approve Creation of a Pandemic Workforce Advisory Council	SH	Against	For	For

Vote Summary Report

Date range covered : 04/01/2021 to 06/30/2021

Location(s): NWQ Investment Management Company, LLC (121), NWQ Investment Management Company, LLC

Institution Account(s): Sun Life NWQ Flexible Income Fund

Walmart Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8	Review of Statement on the Purpose of a Corporation	SH	Against	Against	Against

Ares Capital Corporation

Meeting Date: 06/07/2021

Country: USA

Primary Security ID: 04010L103

Record Date: 04/08/2021

Meeting Type: Annual

Ticker: ARCC

Shares Voted: 61,037

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Michael K. Parks	Mgmt	For	Against	Against
1b	Elect Director Robert L. Rosen	Mgmt	For	Against	Against
1c	Elect Director Bennett Rosenthal	Mgmt	For	Against	Against
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For

Vote Summary Report

Date range covered : 01/01/2021 to 03/31/2021

Location(s): NWQ Investment Management Company, LLC (121)

Institution Account(s): Sun Life NWQ Flexible Income Fund

Siemens AG

Meeting Date: 02/03/2021

Country: Germany

Primary Security ID: D69671218

Record Date: 01/13/2021

Meeting Type: Annual

Ticker: SIE

Shares Voted: 7,820

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
	Management Proposals	Mgmt			
1	Receive Financial Statements and Statutory Reports for Fiscal 2019/20 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 3.50 per Share	Mgmt	For	For	For
3a	Approve Discharge of Management Board Member Joe Kaeser for Fiscal 2019/20	Mgmt	For	For	For
3b	Approve Discharge of Management Board Member Roland Busch for Fiscal 2019/20	Mgmt	For	For	For
3c	Approve Discharge of Management Board Member Lisa Davis (until Feb. 29, 2020) for Fiscal 2019/20	Mgmt	For	For	For
3d	Approve Discharge of Management Board Member Klaus Helmrich for Fiscal 2019/20	Mgmt	For	For	For
3e	Approve Discharge of Management Board Member Janina Kugel (until Jan. 31, 2020) for Fiscal 2019/20	Mgmt	For	For	For
3f	Approve Discharge of Management Board Member Cedrik Neike for Fiscal 2019/20	Mgmt	For	For	For
3g	Approve Discharge of Management Board Member Michael Sen (until March 31, 2020) for Fiscal 2019/20	Mgmt	For	For	For
3h	Approve Discharge of Management Board Member Ralf Thomas for Fiscal 2019/20	Mgmt	For	For	For
4a	Approve Discharge of Supervisory Board Member Jim Snabe for Fiscal 2019/20	Mgmt	For	For	For

Vote Summary Report

Date range covered : 01/01/2021 to 03/31/2021

Location(s): NWQ Investment Management Company, LLC (121)

Institution Account(s): Sun Life NWQ Flexible Income Fund

Siemens AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4b	Approve Discharge of Supervisory Board Member Birgit Steinborn for Fiscal 2019/20	Mgmt	For	For	For
4c	Approve Discharge of Supervisory Board Member Werner Wenning for Fiscal 2019/20	Mgmt	For	For	For
4d	Approve Discharge of Supervisory Board Member Werner Brandt for Fiscal 2019/20	Mgmt	For	For	For
4e	Approve Discharge of Supervisory Board Member Michael Diekmann for Fiscal 2019/20	Mgmt	For	For	For
4f	Approve Discharge of Supervisory Board Member Andrea Fehrmann for Fiscal 2019/20	Mgmt	For	For	For
4g	Approve Discharge of Supervisory Board Member Bettina Haller for Fiscal 2019/20	Mgmt	For	For	For
4h	Approve Discharge of Supervisory Board Member Robert Kensbock (until Sep. 25, 2020) for Fiscal 2019/20	Mgmt	For	For	For
4i	Approve Discharge of Supervisory Board Member Harald Kern for Fiscal 2019/20	Mgmt	For	For	For
4j	Approve Discharge of Supervisory Board Member Juergen Kerner for Fiscal 2019/20	Mgmt	For	For	For
4k	Approve Discharge of Supervisory Board Member Nicola Leibinger-Kammueler for Fiscal 2019/20	Mgmt	For	For	For
4l	Approve Discharge of Supervisory Board Member Benoit Potier for Fiscal 2019/20	Mgmt	For	For	For
4m	Approve Discharge of Supervisory Board Member Hagen Reimer for Fiscal 2019/20		Mgmt	For	ForFor
4n	Approve Discharge of Supervisory Board Member Norbert Reithofer for Fiscal 2019/20	Mgmt	For	For	For
4o	Approve Discharge of Supervisory Board Member Nemat Shafik for Fiscal 2019/20	Mgmt	For	For	For

Vote Summary Report

Date range covered : 01/01/2021 to 03/31/2021

Location(s): NWQ Investment Management Company, LLC (121)

Institution Account(s): Sun Life NWQ Flexible Income Fund

Siemens AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4p	Approve Discharge of Supervisory Board Member Nathalie von Siemens for Fiscal 2019/20	Mgmt	For	For	For
4q	Approve Discharge of Supervisory Board Member Michael Sigmund for Fiscal 2019/20	Mgmt	For	For	For
4r	Approve Discharge of Supervisory Board Member Dorothea Simon for Fiscal 2019/20	Mgmt	For	For	For
4s	Approve Discharge of Supervisory Board Member Matthias Zachert for Fiscal 2019/20	Mgmt	For	For	For
4t	Approve Discharge of Supervisory Board Member Gunnar Zukunft for Fiscal 2019/20	Mgmt	For	For	For
5	Ratify Ernst & Young GmbH as Auditors for Fiscal 2020/21	Mgmt	For	For	For
6a	Elect Grazia Vittadini to the Supervisory Board	Mgmt	For	For	For
6b	Elect Kasper Rorsted to the Supervisory Board	Mgmt	For	For	For
6c	Reelect Jim Snabe to the Supervisory Board	Mgmt	For	For	For
7	Approve Remuneration of Supervisory Board	Mgmt	For	For	For
8	Approve Creation of EUR 90 Million Pool of Capital for Employee Stock Purchase Plan	Mgmt	For	For	For
9	Amend Affiliation Agreement with Siemens Bank GmbH	Mgmt	For	For	For
	Shareholder Proposal Submitted by Verein von Belegschaftsaktionären in der Siemens AG, e.V., Munich	Mgmt			
10	Amend Articles Re: Allow Shareholder Questions during the Virtual Meeting	SH	Against	For	For
11	Additional Proposals Presented at the Meeting	SH	Against	Against	Against
12	Additional Proposals Presented at the Meeting	SH	Against	Against	Against
A	Counter Motion A	SH	Against	Against	Against

Vote Summary Report

Date range covered : 01/01/2021 to 03/31/2021

Location(s): NWQ Investment Management Company, LLC (121)

Institution Account(s): Sun Life NWQ Flexible Income Fund

Siemens AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
B	Counter Motion B	SH	Against	Against	Against
C	Counter Motion C	SH	Against	Against	Against
D	Counter Motion D	SH	Against	Against	Against
E	Counter Motion E	SH	Against	Against	Against
F	Counter Motion F	SH	Against	Against	Against
G	Counter Motion	SH	Against	Against	Against
H	Counter Motion	SH	Against	Against	Against
I	Counter Motion	SH	Against	Against	Against