

## Sun Life Global Investments Form enhancements

A number of enhancements have been applied to key Sun Life Global Investments applications and forms.

### Some important changes to note:

- New form names
- New form numbers
- New form layout & design
- The Sun Life Global Investments RESP Individual and Family Plan Forms have been merged into one

Refer to the next page for the list of forms that have been updated. **Please note: with our recent move to a new vendor, all forms have been updated with new mailing addresses (in addition to what is outlined below).**

**IMPORTANT: Please destroy all previous applications and forms that contain the old RBC address. All forms sent to the old address will be returned to sender. Effective September 1, 2017, old versions of these forms will no longer be accepted.**

If you have additional questions, please contact the SLGI Client Services team:

- Phone: 1-877-344-1434 (Monday to Friday, 8 a.m. – 8 p.m. ET)
- Email: [info@sunlifeglobalinvestments.com](mailto:info@sunlifeglobalinvestments.com)

Old Form Codes (E / F)	New Form Codes (E / F)	Old English Name	New English Form Name	Old French Form Name	New French Form Name
INV APP – 06-14E INV APP – 06-14F	<b>4819-E-02-17</b> <b>4819-F-02-17</b>	Investment Application New account application form	Mutual Fund Investment Application Form	Demande de placement Demande de compte	Demande de placement Fonds communs de placement
TFSA APP – 04-14E TFSA APP – 04-14F	<b>4820-E-02-17</b> <b>4820-F-02-17</b>	Tax-free savings account New account application form	Tax-Free Savings Account Mutual Fund Application Form	Compte d'épargne libre d'impôt Demande de compte	Compte d'épargne libre d'impôt Demande de fonds communs de placement
RESPFAM – 10-15E RESPFAM – 10-15F	<b>4821-E-02-17</b> <b>4821-F-02-17</b>	Education saving plan New account application form Family plan	Education Savings Plan Mutual Fund Application Form	Régime d'épargne-études Demande de compte Régime familial	Régime d'épargne- études Demande de fonds communs de placement
RESPIND – 10-15E RESPIND – 10-15F		Education savings plan New account application form Individual plan		Régime d'épargne-études Demande de compte Régime individuel	
TRFFRM-E-16-12 TRFFRM-F-16-12	<b>4823-E-02-17</b> <b>4823-F-02-17</b>	Transfer Authorization for Registered Investments	Transfer authorization for Registered Investments	Autorisation de transfert de placements enregistrés	Autorisation de transfert de placements enregistrés
PCSF 06-15E PCSF 06-15F	<b>4824-E-02-17</b> <b>4824-F-02-17</b>	Private Client Series/Class O Service Fee Agreement	Private Client Series O/O Class service fee agreement	Gestion privée Entente sur les frais de service pour les parts/unités de série/catégorie O	Gestion privée Entente sur les frais de service pour les parts/unités de série/catégorie O
PC RS 02-16E PC RS 02-16F	<b>4825-E-02-17</b> <b>4825-F-02-17</b>	Rebalancing Service Form	Rebalancing service form	Service De Rééquilibrage	Service De Rééquilibrage
PCLF-02-16E PCLF-02-16F	<b>4826-E-02-17</b> <b>4826-F-02-17</b>	Private Client Account/Contract Linking Form	Private Client account/contract linking form	Gestion privée Établissement de liens entre les comptes/contrats	Gestion privée Établissement de liens entre les comptes/contrats
FATCA SCI-06-14E FATCA SCI-06-14F	<b>4838 04-17E</b> <b>4838 04-17F</b>	Declaration of FATCA self- certification for an Individual	International tax self-certification for individuals	Autodéclaration d'un particulier aux fins de la FATCA	Autocertification fiscale internationale d'un particulier
FATCA CE APP-06-14E FATCA CE APP-06-14F	<b>4839 04-17E</b> <b>4839 04-17F</b>	Declaration of FATCA classification for an entity	International tax self-certification for an entity	Déclaration de classification d'une entité aux fins de la FATCA	Classification fiscale internationale d'une entité
810-3901-02-16 820-3901-02-16	<b>Des Bene 04-17E</b> <b>Des Bene 04-17F</b>	Designation of beneficiary and/or successor annuitant election - Registered Funds/Plans only	N/A – no change to form name	Désignation de bénéficiaire et/ou choix d'un rentier successeur – Fonds ou régimes enregistrés	N/A – no change to form name

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810-3927-11-12 820-3927-11-12	<b>LckdAgrmt 04-17E</b> <b>LckdAgrmt 04-17F</b>	Locking-In agreement for transfer of Locked-In funds	N/A – no change to form name	Transfert de fonds immobilisés - Entente relative à l'immobilisation des fonds	N/A – no change to form name
810-3928-11-12 820-3928-11-12	<b>TSF Guide 04-17E</b> <b>TSF Guide 04-17F</b>	Transfer-In Guidelines	N/A – no change to form name	Directives concernant le transfert	N/A – no change to form name
EST Claim – 11-14E EST Claim – 11-14F	<b>EST CLM 04-17E</b> <b>EST CLM 04-17F</b>	Estate Claim Form	N/A – no change to form name	Formulaire de règlement – succession	N/A – no change to form name
810-3903-02-16 820-3903-02-16	<b>Pers Info 04-17E</b> <b>Pers Info 04-17F</b>	Personal Information Change or Correction	N/A – no change to form name	Modification des renseignements personnels	N/A – no change to form name
PACAGT 04-17E PACAGT 04-17F	N/A – no change to form number	Pre-Authorized Chequing Agreement	N/A – no change to form name	Entente de prélèvement automatique	N/A – no change to form name
Est. Guide - CN RSP 04-17E Est. Guide - CN RSP 04-17F	N/A – no change to form number	Estate Settlement Guidelines Client name registered plans (non locked-in) including TFSA	N/A – no change to form name	Directives concernant le règlement d'une succession - Régimes enregistrés (non immobilisés) au nom du client, y compris le CELI	N/A – no change to form name
Est. Guide - Csh Ind 04-17E Est. Guide - Csh Ind 04-17F	N/A – no change to form number	Ind Estate Settlement Guidelines Non-registered (Cash) Accounts - Individual Contracts	N/A – no change to form name	Directives concernant le règlement d'une succession - Comptes personnels non enregistrés (ouverts)	N/A – no change to form name
Est. Guide - Csh - JT 04-17E Est. Guide - Csh - JT 04-17F	N/A – no change to form number	Estate Settlement Guidelines Non-registered (cash) accounts - Joint accounts	N/A – no change to form name	Directives concernant le règlement d'une succession - Comptes conjoints non enregistrés (ouverts)	N/A – no change to form name
TFSA BENE 04-17E TFSA BENE 04-17F	N/A – no change to form number	Tax-Free Savings Account (TFSA) Designation of Successor Holder and/or Beneficiary	N/A – no change to form name	Compte d'épargne libre d'impôt (CELI) Désignation de titulaire remplaçant et/ ou de bénéficiaire	N/A – no change to form name
RESP RED 04-17E RESP RED 04-17F	N/A – no change to form number	Registered Education Savings Plan (RESP) Redemption form	N/A – no change to form name	Régime enregistré d'épargne-études (REEE) Formulaire de rachat	N/A – no change to form name